

# **REC 92 IACS Guidelines for ISM Code and ISPS Code aligned audits and SMC and ISSC expiration dates alignment**

(June 2006)  
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## **1. Preamble**

These Guidelines contain the recommendations applicable when a Company requests alignment of ISM Code and ISPS Code audits or alignment of the expiry dates of the Safety Management Certificate and the International Ship Security Certificate.

## **2. Definitions**

2.1 “Aligned Audits” means ISM and ISPS audits conducted in conjunction with each other as stated in 7.13 of PR 24.

2.2 “Alignment” means aligning the expiry dates of certificates, SMC and ISSC, as stated in 8.13 of PR 24.

2.3 “Adjusted Certificate” is the certificate or certificates, either SMC and/or ISSC, which will have its expiration date adjusted so as to achieve alignment.

## **3. Conducting aligned ISM Code & ISPS Code Shipboard Audits**

3.1 When the Company selects a single Society to provide aligned audits:

- .1 The lead auditor must be qualified for both ISM Code and ISPS Code certifications, in accordance with the requirements of PR 10 and PR 25;
- .2 The Society must be authorized to conduct both the ISM Code audit and ISPS Code audit by the Flag Administration;
- .3 TCMS and/or TOSCA apply as appropriate and in accordance with PR 18 and PR 27;
- .4 All elements of the ISM Code and/or ISPS Code shall be covered in the aligned audits relative to the adjusted certificate(s);
- .5 Results of the aligned audits shall be documented and reported separately and in accordance with PR 9 and PR 24.

3.2 Any change in the expiration date of the adjusted certificate requires a full renewal verification to be carried out, unless the expiration date of the adjusted certificate(s) is(are) moved backwards and provided that the adjustment does not circumvent any audit due or coming due at the time that the harmonization is completed.

**4. Aligned SMC and ISSC through aligned audits**

4.1 Upon successful completion of the alignment, the new certificate(s) is(are) to be issued or the existing certificate(s) replaced as needed to achieve alignment.

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